JC03 Rec'd PCT/PTO 2.5 MAR 2005 ev. 12-2004)

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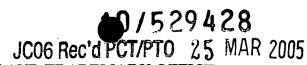
ection of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are required to respond to a col ATTORNEY'S DOCKET NUMBER 116566-053 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 37 CFR 1.53 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE INTERNATIONAL APPLICATION NO. PCT/EP03/10725 INTERNATIONAL FILING DATE 27 September 2002 26 September 2003 TITLE OF INVENTION **OCULAR GENE THERAPY** APPLICANT(S) FOR DO/EO/US Campochiaro et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. 📋 This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X 5. 🛛 A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. 8. 🗆 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🛛 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🗆 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗆 13. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. □ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18.
A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. 🖾 Other items or information: Copy of Sequence Listing (6 pages); copy of International Preliminary Examination Report; copy of International Search Report; Express Mail Label No. EV 352434337 US; Return Receipt Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC06 Rec'd PCT/PTO 25 MAR 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known, see 37 CFR INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER		
10/529428 PCT/EP03/10725					116566-053		
21. The followi	ng fees are submitt	ed:			Applicant use	Office use only	
⊠ a) Basic national fee				\$300.00	\$ \$300.00		
					\$ \$200.00		
⊠ c) Search fee					\$ \$500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ \$1,000.00		
sequence I		program listing	s filed in paper over 100 g filed in an electronic m or fraction thereof.				
Total Sheets	Sheets Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole				
37 - 100 =	-63 /50 =		0	x \$250.00	\$ \$0.00		
	30.00 for furnishing e earliest claimed p		eclaration later than 30 7 CFR 1.492(e)).		\$		
CLAIMS	NUMBER	FILED	NUMBER EXTRA	RATE			
Total claims	12	- 20 =	0	x \$50.00	\$ \$0.00		
ndependent cla	nims 1	- 3 =	0	x \$200.00	\$ \$0.00		
Multiple Dependent Claims (if applicable)					\$		
			OF ABOVE CALC	ULATIONS =	\$ \$1,000.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$ \$0.00		
SUBTOTAL =					\$ \$1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							
ine earliest clair	ned priority date (3	37 CFR 1.492		+_	\$ \$1,000.00		
TOTAL NATIONAL FEE =					\$ \$1,000.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +					\$		
			TOTAL FEES	ENCLOSED =	\$ \$1,000.00		
Amount to be refunded:					-	\$	
			Amoun	t to be charged:		\$	
a. 🛛 A che	ck in the amount of	\$1,000	.00 to cover the at	ove fees is enclose	d.		
	Please charge my Deposit Account in the amount of to cover the above fees.						
•	A duplicate copy of this sheet is enclosed.						
	ommissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment posit Account 02-1818 . A duplicate copy of this sheet is enclosed.						
d. Fees a inform	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
			r 37 CFR 1.495 has not nternational Applicatio			R 1.137(a) or	
SEND ALL CO	ORRESPONDEN	CE TO:	,	/ // .			
Dean H. Naka				1/1.1			
Bell, Boyd & Lloyd LLC							
P.O. Box 113				SIGNATURE	•		
Chicago, Illinois 60690-1135 Dean H. Nakamura							
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Campochiaro et al.

Appl. No.:

Unknown

Filed:

Herewith

Title:

OCULAR GENE THERAPY

Art Unit:

Unknown Unknown

Examiner: Docket No.:

116566-053

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Transmittal Letter to the United States Designed/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371 (duplicate);
- 2. Copy of Specification (37 pages);
- 3. Copy of Sequence Listing (6 pages);
- 4. International Preliminary Examination Report;
- 5. International Search Report;
- 6. Declaration and Power of Attorney (3 pages);
- 7. Check in the Amount of \$1,000.00; and
- 8. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as

Express Mail in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on 25 March 2005.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Renee Street

Name of Person Mailing Correspondence

Signature

EV 552894577 US

Express Mail Mailing Label Number